Test Case Generation Approach for Android Applications using Reinforcement Learning

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ABSTRACT

Mobile applications can recognize their computational setting and adjust and respond to actions in the context. This is known as context-aware computing. Testing context-aware applications is difficult due to their dynamic nature, as the context is constantly changing. Most mobile testing tools and approaches focus only on GUI events, adding to the deficient coverage of applications throughout testing. Generating test cases for various context events in Android applications can be achieved using reinforcement learning algorithms. This study proposes an approach for generating Android application test cases based on Expected State-Action-Reward-State-Action (E-SARSA), considering GUI and context events for effective testing. The proposed method was experimentally evaluated on eight Android applications, showing 48- 96% line of code coverage across them, which was higher than Q-testing and SARSA.

Keywords-Android applications; test case generation; GUI event; context event; reinforcement learning; expected SARSA

I. INTRODUCTION

Mobile devices have become a significant part of our daily lives, allowing easy access to communication, banking, social media, etc. The popularity of smartphones is ever-increasing due to their portability and increasing capabilities [1]. Mobile applications are now regularly used for numerous tasks in business, education, and healthcare, due to their growth in functionality and compatibility [2-4]. According to [5], an average person uses mobile phones for 3 hours a day and spends 90% of this time on mobile applications. In [6], it was stated that a mobile device has between 60 and 90 applications installed on average. Almost all global mobile application developers target Android as their first choice [7]. In line with the robust reputation of mobile applications, their quality is very important [8, 9]. Users often face denials or crashes in applications due to low quality and deficiencies in mobile testing [10]. Therefore, inspecting an application's reliability is an important task.

Mobile applications can now recognize their computational context and adjust and respond to actions in that context. A context can be seen as any information that can be used to characterize the situation of an entity [11]. An entity can be anything that is considered relevant to the interaction between a user and an application, such as a place, person, or object, including the user and the applications themselves [12]. Context-aware applications are increasingly popular because they can simplify end-user responsibilities in many sectors, such as entertainment, healthcare, and smart homes [13, 14]. Continuous variation in context makes testing context-aware applications a challenging task. Therefore, software engineers must increase the quality of their products by considering context variations and thoroughly testing their applications to

updates the *Q* value using the following rule:

detect faults [15]. A test engineer needs context data and a sequence of actions performed by the end user, which increases the difficulty of developing test cases [14, 16]. Some of the challenges of testing context-aware applications are: how to identify context events during testing, generating context-aware test data and test cases, and testing a wide variety of context data types and context variations [17-21]. Currently, only a few testing techniques and tools address testing context variations in mobile applications [14, 22, 23].

Many studies have recently proposed Machine Learning (ML) algorithms to automate software testing [24, 25]. ML developments have shown that automation can match or exceed human performance in different domains [26]. Recently, ML models have been proposed to directly generate input [27], automate functional tests [28], or test Android applications [29, 30]. ML offers the possibility of adapting test case generation for mobile applications. In [31], it was stated that of more than 600 Android application projects, only 14% had test cases, and approximately 9% had code coverage above 40%. Although previous studies have proposed testing models for Android application GUIs, more studies are needed to increase code coverage. Most mobile application testing techniques focus on testing only GUI events. This adds to the deficient coverage of the applications throughout testing. Generating test cases for variations in context events in Android applications can be achieved using Reinforcement Learning (RL) ML algorithms. This study proposes an approach to generate Android application test cases based on Expected State-Action-Reward-State-Action (E-SARSA), considering GUI and context events for effective testing.

II. BACKGROUND AND RELATED WORKS

A. Reinforcement Learning (RL)

RL is a branch of ML that primarily emphasizes successive policy-making that takes into account uncertainties. According to [32, 33], RL can be seen as a computational method for ML that follows behavioral psychology concepts and learning from connections. The application of RL in Android application testing has attracted the interest of many researchers [34]. RL has two mechanisms: the environment and the agent. An agent is an independent unit that can perform activities in an environment to reach a goal. The RL concept examines how software agents should take action in an environment in which the cumulative reward is maximized. The reward is given only when the agent achieves an objective [35]. The purpose of RL is to learn the optimal policies for agents that interact with an unidentified environment *E*. The goal of an agent is to choose a sequence of actions, by observing the environment, that maximizes the cumulative reward over all time steps through trial and error. RL has become increasingly popular due to its success in addressing challenging and successive policymaking issues. Q-learning is an off-policy method that updates its *Q* values using the following update rule:

$$
Q(s_t, e_t) \leftarrow Q(s_t, e_t) + \alpha [R(s_t, e_t) + \gamma \max a Q(s_{t+1}, e) - Q(s_t, e_t)] \tag{1}
$$

The max operator makes the estimation policy greedy, ensuring the Q values converge to $Q(s_{t+1}, e)$. The behavior

$$
Q(s_t, e_t) \leftarrow Q(s_t, e_t) + \alpha [R(s_t, e_t) + \gamma Q(s_{t+1}, e_{t+1}) - Q(s_t, e_t)]
$$
\n(2)

SARSA will not congregate to optimal *Q* values as long as exploration occurs, because it is on policy. However, SARSA's convergence requires each state to be visited recurrently, and the behavior and the approximation policy are classically stochastic to certify suitable exploration. E-SARSA is a variation of SARSA that decreases update changes. The agent acts, perceives the reward, and updates the *Q*-value. It does so by updating the action using the expected Q-value $Q(s_{t+1}, e)$, instead of merely using e_{t+1} . Using this probability decreases update changes. According to [36], the *Q*-value function is defined as:

$$
Q(s_t, e_t) \leftarrow Q(s_t, e_t) + \alpha(R(s_t, e_t) + \gamma \Sigma_e \pi(e|s_{t+1})Q(s_{t+1}, e) - Q(s_t, e_t))
$$
(3)

where $Q(s_t, e_t)$ on the left is the new Q-value of *e* later in performing e_t and moving to state s_{t+1} . $Q(s_t, e_t)$ on the right side is the current *Q*-value of event e_t in state s_t , and α is the learning rate that signifies the effect of an original remark on the predicted value of the *Q*-function. $R(s_b, e_t)$ is the instant reward for taking event e_t in state s_{t+1} , and γ is the discount factor. When s_{t+1} executes an update of $Q(s_t, e_t)$, it will also update $Q(s_{t+1}, e)$ through an improved estimate before choosing the action. $\Sigma_e \pi(e|s_{t+1})$ is the weighted sum of all possible next events, and *e* is the *Q*-value of the next selected event *e^t* in the state *st+1*.

B. Related Works

Several studies have used RL algorithms to test GUI, such as enhancing branch coverage for C applications [27], Java/Swipe desktop applications [37], and desktop and web applications [38]. These tools are not appropriate for testing mobile applications due to their exceptional features, such as screen size, operating system versions, input procedures, and interaction devices [39]. Therefore, focus was given to previous studies related to testing Android applications using RL techniques. In [40], a method was presented that allowed the classification of each activity into a specific type and was used to test several expected behaviors on different screens. In [41], Q-Learning-Based Exploration (QBE) was proposed to explore GUI actions using Q-Learning. QBE performed better than Sapienz, Monkey, A3E, and Swifthand in terms of activity and instruction coverage, except Sapienz, which achieved higher instruction coverage. This method also achieved better results in terms of the number of crashes detected. In [42], a black-box testing tool was proposed that adopted the Advantage Actor-Critic (A2C) algorithm to automatically generate test cases. The algorithm comprises an actor (policy) and a critic (value function). This method was tested on 17 Android applications and was compared with Monkey and ARES. The results showed that this method achieved higher code coverage and detected more errors.

 $e = (w, e_t, v)$

In [43], DroidbotX was proposed, which is an approach that generates a GUI test case based on Q-learning. DroidbotX uses tabular Q-learning and an effective exploration strategy to minimize redundant action executions while using different states and action spaces. DroidbotX outperformed Android Monkey, Droidbot, Sapienz, Stoat, and Humanoid, as it achieved better test coverage and triggered more crashes. In [39], a test case generation method was proposed using SARSA. This method employed a similar policy to both choose an action and update the *Q* value. In [28], Q-funcT was proposed, which used Q-learning to learn the best policy and implement dissimilar functions in the environment rather than exploring the application to search for errors. In [44] AimDroid was presented to automatically test Android applications based on SARSA, aiming to investigate activities and minimize redundant changes between them.

III. METHODOLOGY

The proposed approach generates test cases from Android applications focusing on both context and GUI events. This approach uses E-SARSA to generate the test, where the Application Under Test (AUT) serves as the agent's environment. UIautomator $[45]$ is used to extract the XML sign of the AUT GUI and learn the accessible widgets and actions recognized by a unique ID. Testing events for mobile applications have several challenges. Generating test cases for variations of context events in an Android application using E-SARSA involves dealing with changing environmental conditions and accordingly adapting the testing strategy. The main part of E-SARSA is avoiding stochasticity in the policy by using more cumulative variance [36]. It does so by creating an update on the expected value $Q(s_{t+1}, e_{t+1})$.

The agent implements the selected event, perceives the reward, and updates the Q-value function. Each state uses data that aid the agent in choosing the next event. In RL, the sequence is identified as an episode. In each episode, the agent changes the *Q*-value until it reaches the next state. Thus, the approach creates a reward function that considers the exploration of the sequence state and execution of the action/events (GUI and context). Events, states, and actions are defined according to the Markov Decision Process (MPD), as shown in (4), to generate a test case for an Android application.

$$
M := (S, E, T, \gamma, P, R) \tag{4}
$$

where:

S is a set of states that is defined by the activity name and the set of available events (GUI/context). A state *s* is represented by an *n*-tuple, as:

$$
s = (e_1, e_2, e_3, e_4, \dots, e_n) \tag{5}
$$

where e_i is the current event and n is the total number of events.

 E is the set of events known as the actions in MPD. An event resembles an action that can be executed on a GUI component (e.g. a swipe button), or context event (e.g. Bluetooth or a sensor). There is no variance between events and actions. The event *e* is represented by a 3-tuple, as:

 (v) (6)

where w is a widget on a particular screen, e_t is the event type, which can be either click, long click, or swipe, and *v* grasps random text if the widget is a text field or null if the widget is non-text. Each event is linked to an exact state, which allows also practicing the state-action pairs to characterize an event executable in the application state.

- *R* is the distribution of rewards that returns a numeric value that specifies a transition (current state, event, new state). The reward function calculates the result of taking the action so that the test generation algorithm can differentiate between the actions that were explored earlier.
- *T* is the transition function. When e_t is executed in the current state, the transition to a new state will be determined in response to the AUT. This defines the state the application will reach after the execution of an action, and it is resolute by the application.
- *γ* is the discount factor. The agent uses trial-and-error relations to gain information about the environment, directed by positive or negative rewards rather than obvious commands.

ALGORITHM 1: E-SARSA TEST GENERATION

```
1. Input: AUT: Application under test, C: 
Completion 
      Criteria, T: Transition, E: Events, S: States,
      Q: Q-functions, IQ: Initial q-value 
2. Output: TC: Test Case 
3. Start AUT 
4. while C \neq true do<br>5 state now \leftarrow get
5 state_now ← getcurrentstate(AUT)<br>6. events e \leftarrow env(possible events)6. events e \leftarrow env(possible events)<br>7. foreach e \epsilon E do
        foreach e \in E do
8. event_id \leftarrow get\_event_id(e)9. Event_type ← get_event_type(et)
10. update \overline{S}, statenow, Eids
11. return (S, Eids) 
12. End foreach 
13. Update Q-function 
14. nxtstate_prob ← P(e/next_state)
15. qNxtstate ← qValues 
16. for each e in Nxtstate<br>17. if acurrent is none.
           if qCurrent is none, then
18. qValue(s, e) ←R
19. else 
20. qValues(s,e)←q_current+0.1*R+
0.99 * \Sigma_e (nxtstate) – qValue(e, s)<br>21. return(nxtstate)
21. return(nxtstate)<br>22. End foreach
          End foreach
23. for all s in S do 
24. visit all unexplored A in s
25. if E is not empty do 
26. choose e from E according to T 
27. else<br>28. ex
              extract s from unexplored e
29. repeat until all E in S are explored 30. End for
          End for
31. End update q function<br>32. And TC is CC
        32. And TC is CC 
33. End while
```

```
34. End AUT
```
E-SARSA updates its weights by learning from the transitions in the table. The approach gradually interrelates with the AUT to approximate a behavioral model of the application. The proposed approach aims to generate test cases that maximize code coverage. The execution of a sequence of actions in the AUT results in the formation of a test case. Algorithm 1 illustrates the algorithm the test case generation method based on E-SARSA. This algorithm receives as input: AUT, completion criteria, transition function, actions, states, Q-functions, and initial q-value. From these input parameters, this approach performs a sequence of actions and outputs a test case. The completion criterion is to visit all the unexplored states. The procedure starts at line 3 of the algorithm.

IV. EXPERIMENTAL SETUP

A. Environment

All experiments were run on a PC with Ubuntu 20.04, equipped with 8GB of RAM and an Intel i5 processor. An emulator was used to test the mobile app, based on x86 Intel with Android version 10.0 'Q' (API level 29).

B. Benchmark

The proposed approach was evaluated on 8 open-source Android applications of different categories and sizes. The applications were obtained from F-droid [46], a frequently used database for downloading Android applications. Table I shows the characteristics of the selected applications, such as versions, categories, number of downloads, and Lines of Code (LOC). LOC varied from 273 to 29,126, with an average of 6,240.

V. RESULTS AND DISCUSSION

Code coverage was used to check the efficiency of the proposed method on the selected applications. Code coverage is the sum of passes that have been executed at least once as a percentage of the total number of passes. LOC coverage measures the percentage of lines executed during testing compared to the total number of lines of code in the application. There are several tools for measuring code coverage for different programming languages, such as Jacoco [47], coverage.py [48], and Emma [49]. This study used Emma, an open-source code coverage tool, to measure the coverage achieved by the proposed approach.

The proposed approach was compared with other approaches/techniques in the literature, such as Q-testing [50], and SARSA [39]. As each approach was evaluated using a

different set of mobile applications, the comparison was performed by considering the applications used to evaluate each approach. Based on this, this approach was compared separately with the others. Figure 1, shows the LOC coverage obtained by the proposed approach, with approximately 50% on Alarmclock, 79% on Ankidroid, 90% on Alogcat, and 96% on MunchLife. Q-testing achieved approximately 36% on Alarmclock, 32% on Ankidroid, 78% on Alogcat, and 90% on MunchLife respectively. Figure 2, shows the LOC coverage obtained by the proposed approach compared to SARSA. SARSA achieved a range of 39.60% on Ankidroid, 67.18% on SimpleReminder, 63.30% on the Kana Quiz, and 36.61% on TrickyTripper, respectively.

Fig. 2. Comparison of the proposed approach with SARSA.

Based on the average coverage of the tools compared using the selected applications, the proposed approach outperformed the others in terms of LOC, as shown in Figure 3. The proposed approach achieves these results due to the use of E-SARSA, which reduces changes by taking into account the probability of each action under the current policy, triggered by randomly selecting actions.

VI. CONCLUSION

Mobile context-aware application testing is complicated due to its dynamic nature as the context changes. Most mobile testing tools and approaches focus only on GUI events. This adds to the deficient coverage of applications throughout testing. This study presented an approach to generate Android application test cases using E-SARSA, which considers both context and GUI events for effective testing. An experimental analysis was executed using real-world open-source mobile applications to evaluate the approach. The results showed that the proposed approach had 48-96% coverage on the selected applications. The proposed method outperformed Q-testing on all four common applications and achieved better LOC coverage than SARSA on Ankidroid, The Kana Quiz, and TrickyTripper. However, SARSA had a better LOC on Simple Reminder by 19%. The proposed approach had an average LOC of 78.70% while Q-testing and SARSA had 59% and 51.70%, respectively. Future work will investigate additional evaluation metrics, such as fault detection ability, and integrate the approach into a tool.

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