Dynamic Matrix Control and Tuning Parameters Analysis for a DC Motor System Control

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Abstract—Model predictive control (MPC) in system control industry overrides the challenges of conventional controllers in controlling complex systems. However, for efficient control, it is essential to find the best combination of parameter values. In this paper, we present the implementation of a multivariable dynamic matrix control (DMC) algorithm. An industrial system consisting of a DC motor, coupled to a mechanical load, the assembly associated with an electronic speed variator was considered to test the implemented DMC controller. DMC’s tuning parameter analysis on the manipulated inputs and their variations on the controlled outputs was performed. Results guarantee that efficient control was presented.

Keywords—model predictive control (MPC); dynamic matrix control (DMC); tuning parameters; DC motor control

I. INTRODUCTION

Advances in the system control industry have led engineers to develop robust controllers with higher performance than conventional ones (LQR, IMC, PID) [1-3]. DMC is a subset of the MPC algorithms that refers to a class of computer control algorithms that use an explicit process model to predict the future response of a system [4, 5]. The ability of this controller to drive multivariable, non-linear and constrained systems, and its ease of tuning give it a prominent place in industrial processes [6-9]. However, the best combination of controller parameter values that ensures efficient tuning has often been very difficult to find [8, 10-12] because of the effect of each of these parameters on the controller's control signals and system outputs [9, 10]. In this paper, in addition to the simulation, we propose a DMC’s tuning parameter analysis approach for efficient tuning. Figure 1 presents the principle of the moving horizon of [13], which is at the heart of any MPC algorithm.

II. DMC ALGORITHM

DMC [14] is part of the first generation of MPC, consisting of algorithms that provide a systematic means of controlling systems more efficiently while count multivariable cases, for which the step responses of the system are used as a predictive model [4, 15]. For a given system, let the value of step response at each sample time be \(a_i = a(iT), i = 1, 2, ..., n\) the length of the horizon of the system model, then \(a = [a_1 \cdots a_n]^T\) is called the predictive model of the system. Choosing the length of the control horizon as \(m\) and the length of optimal horizon as \(p\), the dynamic matrix of DMC can be written according to (1):

\[
A = \begin{bmatrix}
a_1 & 0 \\
\vdots & \ddots \\
a_{m-1} & \cdots & a_1 \\
a_p & \cdots & a_{p-m+1}
\end{bmatrix}
\] (1)

For a multiple input–multiple output (MIMO) system with \(n_u\) inputs and \(n_l\) outputs, any input-output \(i-j\) pair can be represented by a matrix \(A_{ij}\) of coefficients \(a_{ij}\) in every way similar to (1) so that the complete system is finally represented by a MIMO dynamic matrix of control \(A_j\) composed of elementary matrices according to (2):

![Principle of the moving horizon of model predictive control.](image-url)
\[
A = \begin{bmatrix}
A_{11} & \cdots & A_{1m} \\
\vdots & & \vdots \\
A_{ny} & A_{ny}
\end{bmatrix}
\] (2)

At time \( k \), assume the control input increment is \( \Delta u_m(k) \). By using dynamic matrix \( A \), it can be obtained that \( \hat{y}_{pm}(k) = \hat{y}_{p0}(k) + A\Delta u_m(k) \), where \( \hat{y}_{pm}(k) \) represents the predictive outputs at future under the control of increment \( \Delta u_m(k) \), and \( \hat{y}_{p0}(k) \) the predictive outputs at future when \( \Delta u_m(k) = 0 \). For DMC, the vector \( \hat{y}_{p0}(k) \) can be obtained by shifting the predictive vector output at the last time instant ahead one step. The online optimization problem of the DMC controller without constraints can be formulated according to:

\[
\text{Min } J(k) = \| \omega_p(k) - \hat{y}_{pm}(k) \|_W^2 + \| \Delta u_m(k) \|_W_2^2
\] (3)

where \( J \) is the cost function to minimize compared to the control law \( \Delta u_m(k) \), \( \omega_p(k) \) is the reference at time \( k \), \( W_1 \) and \( W_2 \) are the weight matrices of output errors and control input variations, respectively.

Authors in [16] point out that the DMC is a least-squares optimization problem with a quadratic performance objective and penalty on manipulated variable variations. Thus, the problem of (3) can be written in the form of (4):

\[
\text{Min } J(k) = \\
\frac{1}{2} \| A\Delta u_m(k) - e(k+1) \|^T W_1^T W_1 \times \\
\frac{1}{2} \| A\Delta u_m(k) - e(k+1) \|^T W_2^T W_2 (5)
\]

where \( e(k+1) \) represents the predicted future errors between the predicted outputs and the practical outputs.

By solving the optimization problem (3), DMC controller can obtain the solution vector. The details of the derivation of (4) to search the MIMO optimal control law of DMC can be found in [16, 17], where the solution (5) is found.

\[
\Delta u_m(k) = A^T W_1^T W_1 A + W_2^{-1} A^T W_1^T W_1 e(k+1) (5)
\]

It should be noted that only the first element of the control law of (5) \( \Delta u(k) \) is sent to the plant. Then, the vector of the future system outputs predicted by the predictive model is written according to (6):

\[
\hat{y}_n(k) = \hat{y}_{n0}(k) + A\Delta u(k)
\]

Since there are always model errors or unknown disturbances for practical applications, the DMC will adjust the predicted outputs of (6) based on the practical outputs \( y(k+1) \). The error vector between the predicted outputs and the practical outputs is written according to (7):

\[
e(k+1) = y(k+1) - \hat{y}_n(k+1)
\]

Choosing the adjustment coefficient vector \( h \), the adjusted predictive outputs at future when \( h \) can be obtained by

\[
\hat{y}_{m0}(k+1) = \hat{y}_{m0}(k) - h e(k+1)
\]

At time \( k+1 \), the whole procedure mentioned above is repeated. Figure 2 presents the diagram of DMC’s general principle. Figure 3 presents the key building steps of the MIMO DMC algorithm without constraints.

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To test our DMC controller algorithm, the DC motor system of [18] was considered. In this system, the separately
excited DC motor system drives a load and the assembly is coupled to an electronic variator speed drive. Previously used models of the DC motor to test control algorithms [19-24] were unrealistic and limited to electromechanical models. In the model of [18], the electromechanical model is associated with an electronic speed variator, consisting of a simple chopper which allows the whole system to function in the first quadrant (Q1) of the couple-speed plane. The principle of operation of the whole system is illustrated in Figure 4. The system parameter values taken into account in simulations are given in Table I.

The discrete average state model of the system is given by (9) with a sampling step of $T=0.1\ s$:

$$
egin{align*}
    x_1(k+1) &= 0.3656 x_1(k) + 0.2516 x_2(k) - 2.3882 u_s(k) + 3.9830 u_t(k) \\
    x_2(k+1) &= 0.1010 x_1(k) + 0.6676 x_2(k) \\
    y_1(k) &= 0 \begin{bmatrix} x_1(k) \\ x_2(k) \end{bmatrix} \\
    y_2(k) &= 0 \begin{bmatrix} x_1(k) \\ x_2(k) \end{bmatrix}
\end{align*}
$$

(9)

It is then a MIMO 2×2 linear time invariant (LTI) state space model of the DC motor system, where the manipulated inputs ($u_t$ and $u_s$) are respectively the load-resisting torque ($T_l$) and the duty cycle of the electronic speed converter ($D$). The controlled outputs ($y_1$ and $y_2$) are respectively the armature current ($i_a$) and the motor angular speed ($\omega$). The problem is to use the duty cycle of the chopper and the resistive torque of the load to control the rotational speed and the induced current of the motor.

### TABLE I. DESIGN SPECIFICATIONS OF THE DC MOTOR SYSTEM

<table>
<thead>
<tr>
<th>Symbol</th>
<th>System parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$U_s$</td>
<td>Supply voltage</td>
<td>24V</td>
</tr>
<tr>
<td>$R_a$</td>
<td>Armature circuit resistance</td>
<td>$\Omega$</td>
</tr>
<tr>
<td>$L_a$</td>
<td>Armature circuit inductance</td>
<td>0.5H</td>
</tr>
<tr>
<td>$k_v$</td>
<td>Voltage constant</td>
<td>0.1Vs/rad</td>
</tr>
<tr>
<td>$k_t$</td>
<td>Torque constant</td>
<td>0.1Nm/A</td>
</tr>
<tr>
<td>$k_f$</td>
<td>Viscous friction</td>
<td>0.2Nms</td>
</tr>
<tr>
<td>$J$</td>
<td>Total moment of inertia</td>
<td>0.02kgm²</td>
</tr>
</tbody>
</table>

The effects of the DMC tuning parameters are analyzed on the manipulated inputs and their variations, and on the controlled outputs of the plant.

#### A. Effect of Prediction Horizon (p)

Figure 5 shows the DMC simulation results obtained at different values of prediction horizon while keeping the other parameters constant according to Table II. We find that the controlled outputs of the plant (Figures 5(a) and 5(b)) are faster for the lower values of p [2]. However, large control actions (Figures 5(c)-5(f)) are required. This makes it possible to compensate for the uncertainties of the model of our system. Thus, the prediction horizon must be consistent with the response time of the process [16].

### TABLE II. EFFECT OF PREDICTION HORIZON (p).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model horizon (n)</td>
<td>60</td>
</tr>
<tr>
<td>Prediction horizon (p)</td>
<td>3, 6, 10</td>
</tr>
<tr>
<td>Control horizon (m)</td>
<td>1</td>
</tr>
<tr>
<td>Error weight matrix ($W_1$)</td>
<td>1</td>
</tr>
<tr>
<td>Control weight matrix ($W_2$)</td>
<td>0</td>
</tr>
</tbody>
</table>

#### B. Effect of Control Horizon (m)

Figure 6 shows the DMC simulation results obtained at different values of control horizon m while keeping the other parameters constant according to Table III. We find that the controlled outputs of the plant (Figures 6(a) and (b)) are slow for the low values of control horizon while (m=1), with control actions (Figures 6(c)-6(f)) soft and progressive, while m=2 produces fast responses but with raw control actions. The controller becomes more sensitive to

### TABLE III. EFFECT OF CONTROL HORIZON (m).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model horizon (n)</td>
<td>60</td>
</tr>
<tr>
<td>Prediction horizon (p)</td>
<td>3</td>
</tr>
<tr>
<td>Control horizon (m)</td>
<td>1, 2</td>
</tr>
<tr>
<td>Error weight matrix ($W_1$)</td>
<td>1</td>
</tr>
<tr>
<td>Control weight matrix ($W_2$)</td>
<td>0</td>
</tr>
</tbody>
</table>
Figure 6. Effect of control horizon m: (a) and (b) controlled outputs, (c) and (d) manipulated inputs, (e) and (f) variations of manipulated inputs.

C. Effect of Model Horizon (n)

Figure 7 shows the DMC simulation results obtained at different values of model horizon while keeping the other parameters constant according to Table IV. We find that the controlled outputs (Figures 7(a) and 8(b)), the manipulated inputs (Figures 7(c) and 7(d)) and the manipulated input variations (Figures 7(e) and (f)) of the system are partially unstable for n=20 and n=40. However, they become perfectly stable for n=60. Thus, the model horizon must be chosen such that the dynamics of the system are sufficiently captured by the controller. In general, large model horizons are recommended [25, 26].

TABLE IV. EFFECT OF MODEL HORIZON (n).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model horizon</td>
<td>20, 40, 60</td>
</tr>
<tr>
<td>Prediction horizon</td>
<td>3</td>
</tr>
<tr>
<td>Control horizon</td>
<td>1</td>
</tr>
<tr>
<td>Error weight matrix (W₁)</td>
<td>1</td>
</tr>
<tr>
<td>Control weight matrix (W₂)</td>
<td>0</td>
</tr>
</tbody>
</table>

D. Effect of Error Weight Matrix W₁ and Control Weight Matrix W₂

Figure 8 shows the DMC simulation results obtained at different values of the control weight matrix when the weight matrix of the error is set to W₁=1. The other parameters stay constant according to Table V. We find that the responses of the system (Figure 8(a) and 8(b)) are faster for the lower values of W₂ with progressive control signals (Figures 8(c) and 8(d)). However, there is a lot of contact on control variations (Figures 8(e) and 8(f)). Thus, error and control weight matrices are the most important DMC parameters and must be used to control the rise time of responses, and to produce progressive responses to control variations [10, 16].

V. DMC CONTROLLER RESULTS IN RESPONSE TO AN EFFICIENT TUNING

From the previous analysis of the effects of the DCM's parameters, a set of parameter values that guarantees efficient tuning of the implemented DMC controller is proposed (Table VI). The simulation results of the MIMO DMC in response to this setting are shown in Figure 9. The results of Figures 9(a) and 9(b) show that the controlled outputs follow their respective setpoints, while the manipulated inputs (Figures 9(c) and 9(d)) and their variations (Figures 9(e) and 9(f)) provide smooth and progressive control actions. Thus, for our system, the prediction horizon must be consistent with the response time of the process [16].

Fig. 7. Effect of model horizon n: (a) and (b) controlled outputs, (c) and (d) manipulated inputs, (e) and (f) variations of manipulated inputs.

TABLE V. EFFECT OF ERROR WEIGHT MATRIX (W₂).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model horizon</td>
<td>60</td>
</tr>
<tr>
<td>Prediction horizon</td>
<td>3</td>
</tr>
<tr>
<td>Control horizon</td>
<td>1</td>
</tr>
<tr>
<td>Error weight matrix (W₁)</td>
<td>1</td>
</tr>
<tr>
<td>Control weight matrix (W₂)</td>
<td>1, 8, 13</td>
</tr>
</tbody>
</table>

Fig. 8. Effect of error weight matrix W₁ and control weight matrix W₂: (a) and (b) controlled outputs, (c) and (d) manipulated inputs, (e) and (f) variations of manipulated inputs.
TABLE VI. OPTIMAL VALUES OF THE DMC PARAMETERS.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model horizon ((n))</td>
<td>60</td>
</tr>
<tr>
<td>Prediction horizon ((p))</td>
<td>3</td>
</tr>
<tr>
<td>Control horizon ((m))</td>
<td>1</td>
</tr>
<tr>
<td>Error weight matrix ((W_1))</td>
<td>1</td>
</tr>
<tr>
<td>Control weight matrix ((W_2))</td>
<td>0</td>
</tr>
</tbody>
</table>

VI. CONCLUSIONS

In this paper, MIMO DMC algorithm applied to control speed and current of a DC motor system has been implemented. An approach to analyze the effect of each tuning parameter and its variations on manipulated inputs and on controlled outputs has been presented. Obtained results showed that the combination of the values of DMC tuning parameters such as prediction horizon \((p)\), control horizon \((m)\), model horizon \((n)\), error and control weight matrices \((W_1)\) and \((W_2)\), which guarantees efficient control can be found through an individual and systematic analysis of the influence of each of these parameters on the outputs of the controller and the system. In this way, the MIMO DMC controller algorithm drives the outputs of the plant to their desired setpoints.

REFERENCES